

176[#]76

CASE CLV-31313P1

CERTIFICATE OF MAILING		
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.		
<u>Jennifer China</u> Type or print name	<u>Jennifer China</u> Signature	<u>Nov 28, 2001</u> Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

WINTERTON ET AL.

APPLICATION NO: 09/775,104

FILED: FEBRUARY 1, 2001

FOR: SINGLE-DIP PROCESS FOR ACHIEVING A LAYER-BY-LAYER-LIKE COATING

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(c).
A letter for payment of fee set forth in 37 C.F.R. §1.17(p) is enclosed.

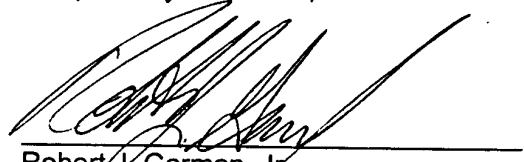
In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

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The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,



Robert J. Gorman, Jr.
Attorney for Applicants
Reg. No. 41,789

Novartis Corporation
Patent and Trademark Dept.
564 Morris Avenue
Summit, NJ 07901-1027
(678) 415-4389

Date: Nov. 20th 2001



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FEE LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$180 for payment of the fee pursuant to 37 CFR §1.17(p) for the submission of an Information Disclosure Statement under 37 CFR §1.97(c).

An additional copy of this paper is here enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis Corporation.

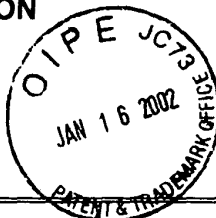
Respectfully submitted,

Robert J. Gorman, Jr.
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Novartis Corporation
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564 Morris Avenue
Summit, NJ 07901-1027
(678) 415-4389
Date: November 28, 2001

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Gr up

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	WO 01 27209 A	4/19/01	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AN	WO 99 35520 A	7/15/99	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AO	EP 0 138 385 A	4/25/85	EPO			<input type="checkbox"/>	<input type="checkbox"/>
	AP	EP 0 138 385 A	2/11/98	EPO			<input type="checkbox"/>	<input type="checkbox"/>
	AQ	EP 01 01154 A	4/21/98	EPO			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.